



Purchaser Information Worksheet Instructions

Reason to complete this form:

- This form ensures the Structured Settlement documents are created with correct names and legal information

TO RESERVE AN OFFER – CONTACT US FIRST AT 1-800-813-4000

- After you've reserved the offer via phone with an advisor – **Complete the Purchaser Information Worksheet**. It can be completed online and then submitted directly to us by **clicking on the “Submit Form” button in the top right-hand corner of the form.**
- Upon receipt by SWS, **we will contact you to confirm receipt** & forward the Purchase Agreement for the applicable signatures
- To **hold the reservation** a deposit check is required & the payments will be outlined in your Purchase Agreement

INSTRUCTIONS TO COMPLETE THE PURCHASER INFORMATION WORKSHEET

- Complete all applicable information on the worksheet.
 - For the Offer Name and Offer Amount
 - Offer name can be obtained from the Ref# column of the Offer Sheet
 - Offer amount is the purchase price listed in the first column for the particular offer
 - For Owner Information
 - Owner name-complete this as you would like it to read in the Court Documents
 - If this is a Trust, please indicate the Trust name and Trustee(s)
 - If a Trust, please include the applicable SSN or TIN that applies to the Trust
 - Provide a copy of the Trust agreement
 - Joint Owner Information (complete only if there is a joint owner)
 - Complete the name section as you would like it to read in the Court Documents
 - Section 2
 - Complete either Box A or Box B, but not both
 - There are two places to sign:
 - If you are the owner, please sign your name in the applicable box
 - If there is a joint owner, please sign your name on the second line of the applicable box

Secondary Market Income Annuity (SMIA) Purchaser Information Worksheet

Offer Name- use Ref. # on Offer Sheet		Projected Purchase Price (Shown on Offer Sheet)
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Owner Full Name (complete as you want it to be reflected in Court Documents) – If this is a Trust Account – Note below who is/are the Principal Officer Trustee(s)		
Name:		
Mailing Address:		
Street Address (if different from mailing):		
SSN or Tax ID #	Date of Birth:	Marital Status:
U.S. Citizen: Yes ___ No ___	Driver's License#/State:	Occupation:
If Trust Account – Principal Officer Trustee(s) Names:		

Joint Owner Name (if applicable) – complete as you want it to be reflected in Court Documents		
Name:		
Mailing Address:		
Street Address:		
SSN or Tax ID #	Date of Birth:	Marital Status:
U.S. Citizen: Yes ___ No ___	Driver's License#/State:	Occupation:

Section 2 – Representations and Signatures – Complete Either Box A or Box B, NOT BOTH	
A. I acknowledge the fixed annuity product I am applying for is a long-term contract without any opportunity for early withdrawal. I believe this product meets my financial needs and objectives.	
Owner Signature (or Trustee name is owner is a Trust):	Date:
Joint Owner Signature (if applicable):	Date:
Agent's Acknowledgement: Based on Information Collected, I believe the purchase of the Structured Settlement is suitable.	
Advisor's Signature:	Date:

B. I (we) elect not to provide information for this form and/or I (we) have decided not to purchase this Structured Settlement without a recommendations from my advisor or the company. I (we) understand this annuity product is a long-term contract without any opportunity for early withdrawal. I (we) believe this product meets my(our) financial needs and objectives.	
Owner Signature (or Trustee name is owner is a Trust):	Date:
Joint Owner Signature (if applicable):	Date:
Agent's Acknowledgement: The Owner(s) has not provided complete information and has decided to purchase this Structured Settlement without my recommendation.	
Advisor's Signature:	Date: